

Part -B

5 x 6 = 30

Answer ALL questions

Each answer should not exceed 400 words or two pages

- 11.a. Explain the objectives and significance of the Securities Contracts (Regulation) Act, 1956. CO1 K1
(or)
- 11.b. Discuss the powers of SEBI under the Securities Contracts (Regulation) Act, 1956. CO1 K2
- 12.a. Describe the structure and functioning of SEBI under the SEBI Act, 1992. CO2 K3
(or)
- 12.b. Explain the key amendments made to the SEBI Act, 1992, and their impact on the securities market. CO3 K3
- 13.a. Discuss the role of depositories in the Indian capital market under the Depositories Act, 1996 . CO2 K3
(or)
- 13.b. Explain the process of dematerialization and rematerialization of securities. CO3 K2
- 14.a. Analyze the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. CO4 K3
(or)
- 14.b. Discuss the significance of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations. CO4 K2
- 15.a. Explain the SEBI (Prohibition of Fraudulent and Unfair Trade Practices) Regulations, 1998. CO5 K1
(or)
- 15.b. Discuss the penalties and legal actions under SEBI's regulatory framework. CO5 K1

Part C

5 x 12 = 60

Answer ALL questions

Each answer should not exceed 800 words or four pages

- 16.a Critically evaluate the impact of the Securities Contracts (Regulation) Act, 1956 on Indian stock exchanges. CO1 K3
(or)
- 16.b. Explain the role of stock exchanges in securities trading under this Act. CO2 K3
- 17.a. Analyze the investor protection measures introduced by SEBI under the SEBI Act, 1992. CO2 K2
(or)
- 17.b. Evaluate the effectiveness of SEBI in regulating the securities market. CO3 K2
- 18.a. Compare and contrast the depository system with the traditional physical securities System. CO4 K3
(or)
- 18.b. Discuss the challenges faced by depositories in ensuring security and efficiency in the securities market. CO4 K3
- 19.a Examine the role of SEBI regulations in preventing insider trading in India. CO2 K3
(or)
- 19.b. Discuss the impact of SEBI regulations on corporate governance practices in India. CO4 K2
- 20.a. Assess the effectiveness of SEBI's enforcement mechanisms in ensuring compliance with market regulations. CO3 K3
(or)
- 20.b. Discuss the role of SEBI in maintaining transparency and efficiency in the Indian securities market. CO5 K2
