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# Avinashilingam Institute for Home Science and Higher Education for Women

(Deemed to be University under Category 'A' by MHRD, Estd. u/s 3 of UGC Act 1956)

Re-accredited with 'A++' Grade by NAAC. Recognised by UGC Under Section 12B

Coimbatore - 641 043, Tamil Nadu, India

## Continuous Internal Assessment Test - I August 2024

### III Semester

Class: II UG

Course: B.Com/B.Com CA

Time: 2 Hours

Max. Marks: 60

### 23BCODE1D / 23BCCDE4 Financial Markets and Services

#### Course Outcome:

- CO1: Acquire knowledge on the Capital Market.
- CO2: Gain knowledge on Asset classes.
- CO3: Understand about Investments Funds and Foreign Exchange.
- CO4: Know about the Equities and Bonds.
- CO5: Understand about the Derivatives.

#### Part A

##### Choose the Correct answer

6 x 1 = 6

1. A person appointed by a stock broker to assist him in the business of securities trading is called  
a) Sub-broker b) Commission broker c) Authorised clerk d) Tarawaniwala CO1 : K1
2. An order for the purchase of securities at a fixed price is known as  
a) Limit order b) Open order c) Discretionary order d) Stop loss order CO1: K1
3. Bills drawn and accepted payable after three months are called  
a) Indigenous bills b) Usance bills c) Clean bills d) Supply bills CO2: K1
4. The pattern of investment of a mutual fund is oriented towards fixed income yielding securities under  
a) Growth fund scheme b) Income fund scheme CO2 : K1  
c) Balanced fund scheme d) Money market mutual fund scheme
5. In India, the company which actually deals with the corpus of the mutual fund is called  
a) Sponsor company b) Trustee company c) Asset management company d) Mutual fund company CO2: K1
6. Capital of a company is divided in units which is called  
a) Debenture b) Share c) Stock d) Bond CO3: K1

#### Part B

3 x 6 = 18

##### Answer ALL questions

Each answer should not exceed 400 words or two pages

7. (a) Explain various methods used in the floatation of securities in the new issue market. CO1: K2  
(Or)
7. (b) Write a brief note on major CNX indices. CO1: K2
8. (a) Distinguish between a money market and a capital market. CO2: K2  
(Or)
8. (b) Discuss the importance of money market. CO2: K2
9. (a) Briefly explain important features of mutual fund. CO2: K2  
(Or)
9. (b) Explain the features of equity capital. CO3: K2

#### Part C

3 x 12 = 36

##### Answer ALL questions

Each answer should not exceed 800 words or four pages

10. (a) Classify the brokers and explain their functions. CO1: K3  
(Or)
10. (b) Describe the features of SEBI (Depository and Participants) Regulation Act. CO1: K3
11. (a) Discuss the various instruments of a money market and bring out their features. CO2: K3  
(Or)
11. (b) Explain the characteristic features of a developed money market. CO2: K3
12. (a) Describe the various schemes of mutual fund. CO2: K3  
(Or)
12. (b) Explain the types of equity capital. CO3: K3

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