



Maximum

Avinashilingam Institute for Home Science and Higher Education for Women, (Deemed to be University under Category 'A' by MHRD, Estd. u/s 3 of UGC Act 1956)  
Re-accredited with A++ Grade by NAAC. Recognised by UGC Under Section 12B  
Coimbatore - 641 043, Tamil Nadu, India

Continuous Internal Assessment Test - I – Aug 2024  
Continuous Internal Assessment Test I  
Semester-V

Class: III UG  
Major: B.Com(CS)

Time: 2 hours  
Max.Marks:60

21BCRC17-CAPITAL MARKETS

Course Outcome

1. Operate in the Indian financial system.
2. Deal To promote conceptual understanding of primary markets in India.
3. Acquire knowledge about secondary markets in India.
4. To have an in-depth knowledge of different financial instruments and intermediaries.
5. To have an in-depth knowledge of security market intermediaries

Part A

Choose the Correct Answer 6 X 1 =6

1. The capital market in India is controlled by

- (a) RBI                      b) NABARD                      (c) SEBI                      (d) IRDA

CO1:K1

2. The following is not an objective of SEBI

CO1:K2

- (a) To regulate securities market (b) To protect interests of inventors  
(c) To promote individual businesses (d) To promote the development of the market

3. The regulatory body for the securities market in India is

CO2:K1

- (a) Stock exchanges (b) IRDA (c) RBI (d) SEBI

4. The Capital Market in India controls by

- (a) SEBI (b) NABARD (c) RBI (d) SBI

CO2:K1

5. The least risky option is

- (a) Bonds (b) Shares (c) Treasury Bills (d) Trading

CO2:K2

6. The categories does Industrial Security Market have

- (a) 2 (b) 3 (c) 4 (d) 5

CO3:K1

PART B

Answer all questions 3X 6 = 18

11.a) Narrate the recent development in Indian financial system.

CO1:K1

(or)

11.b) Write a short note on Indian financial systems.

CO1:K2

- 12.a) Write a note on Role and functions of Indian financial system. CO1:K3  
 (or)  
 12.b) Explain the concept of Government securities Markets. CO2:K3
- 13.a) Explain about Underwriters. CO2:K3  
 (or)  
 13.b) Write a note on IPO - FPO -Public issue. CO3:K2

**PART C**

**Answer all questions 3X 12 = 36**

- 14.a) Write a note on relationship of capital market b) Industrial Securities Market CO1:K3  
 c) Government Securities market.  
 (or)  
 b) Enumerate The Indian financial system and their Role and functions. CO1:K2
- 15.a) Explain the various Functions of new issue market and Methods of floating new issue.  
 (or) CO1:K3  
 b) Write a note on Role of SEBI relating to the new issue market. CO2:K1
- 16.a) Explain the Functions of Stock Exchanges in India. CO2:K4  
 (or)  
 b) Explain the procedure of Listing of securities and their registration. CO3:K2

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**ALL THE BEST**

**No of copies: 22 Prepared by: Mrs.S.Seetha**

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