



**Part B**  
**Answer ALL questions**

**5 x 6 = 30**

**Each answer should not exceed 400 words or two pages**

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|---|--------|
| 11.a. Explain the functions of Indian Financial System.               | CO1 K3 |
| (or)  |        |
| 11.b. Describe the recent development in the Indian Financial System. | CO1 K2 |
| 12.a. Explain the functions of new issue market.                      | CO2 K3 |
| (or)  |        |
| 12.b. Summarise the intermediaries in the new issue market.           | CO2 K3 |
| 13.a. List out the characteristics of Stock exchange of India.        | CO3 K2 |
| (or)  |        |
| 13.b. Explain OTCEI.  | CO3 K3 |
| 14.a. Explain the features of Commercial bills.                       | CO4 K3 |
| (or)  |        |
| 14.b. Discuss American Depository Receipts.                           | CO4 K2 |
| 15.a. Explain the role of Underwriter.                                | CO5 K3 |
| (or)  |        |
| 15.b. Who is called portfolio managers? Explain their functions.      | CO5 K2 |

**Part C**  
**Answer ALL questions**

**5 x 12 = 60**

**Each answer should not exceed 800 words or four pages**

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|--|--------|
| 16.a. Examine the significance and functions of capital markets.           | CO1 K4 |
| (or)   |        |
| 16.b. Discuss about financial instruments in Industrial securities market. | CO1 K3 |
| 17.a. Explain the methods of floating new issue.                           | CO2 K3 |
| (or)   |        |
| 17.b. Discuss about the role of SEBI relating to the new issue market.     | CO2 K3 |
| 18.a. Explain the procedure and SEBI Guidelines of Listing of securities.  | CO3 K3 |
| (or)   |        |
| 18.b. Outline the kinds of brokers in Stock Exchange.                      | CO3 K4 |
| 19.a. Explain the importance and features of certificate of deposits.      | CO4 K2 |
| (or)   |        |
| 19.b. Explain the types of mutual funds.                                   | CO4 K2 |
| 20.a. Examine the roles and functions of Merchant Bankers.                 | CO5 K4 |
| (or)   |        |
| 20.b. Explain the types of credit rating agencies in India.                | CO5 K3 |

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