Avinashilingam Institute for Home Science and Higher Education for Women

(Deemed to be University under Category 'A' by MHRD, Estd. u/s 3 of UGC Act 1956)
Re-accredited with A++ Grade by NAAC. Recognised by UGC under Section 12B
Coimbatore - 641 043, Tamil Nadu, India

Continuous Internal Assessment –II OCT 2024

Semester - V

Class: III UG **Time: 2 Hours**
Major: B.COM (CS) **Max. Marks: 60**

21BCRC17 –CAPITAL MARKETS

Course Outcomes:

1. Operate in the Indian financial system.
2. Deal To promote conceptual understanding of primary markets in India.
3. Acquire knowledge about secondary markets in India.
4. To have an in-depth knowledge of different financial instruments and intermediaries.
5. To have an in-depth knowledge of security market intermediaries

Part- A

Choose the correct the answer **(6X1=6)**

1. Primary capital markets are the platform is CO3:K1
a)new securities are issued b) New securities are sold
c) New securities are borrowed d) Both a and b
2. The following is not part of stock exchange CO3:K3
a) KPO b) IPO c) NSE d) NAV
3. The following ECGC. CO4:K1
a) EXIM Bank b) Ministry of Commerce and Industry c) RBI d) SEBI
4. A short term unsecured promissory note issued by reputed business CO4: K2
a) Treasury bill b) Commercial paper c) Certificate of Depositd) Promissory Note
5. Stock Exchange is known as CO5: K2
a) Primary market b) Secondary market c) Capital market d) All of the above.
6. Member of stock exchanges is called CO5: K2
a) Stock broker b) Investor c) Issuer d) None of the above.

Part- B

Answer ALL questions **(3x6=18)**

7. (a) Explain the Listing of securities. CO3: K1
(Or)
(b)Enumerate the registration of brokers. CO3: K1
8. (a) Explain the Treasury bills and Commercial bills. CO4: K2
(Or)
(b) Describe the American Depository Receipts. CO4: K2
9. (a) Write short note on role Merchant Bankers and Stock Brokers. CO5: K2
(Or)
(b)Explain the Credit Rating Agencies. CO5: K2

Part - C **(3x12 =36)**

Answer ALL questions

10. (a) Describe the Kinds of brokers in stock exchange. CO3: K1
(Or)
(b)Briefly explain the SEBI Guidelines relating to listing of securities. CO3: K2
11. (a) Briefly explain the Exchange traded funds & Exchange traded notes. CO4: K1
(Or)
(b) Describe the Global Depository Receipts. CO4: K2
12. (a) Explain the functions of Primary Market intermediaries CO5: K3
(Or)
(b) Briefly Explain the functions of Secondary Market Intermediaries. CO5: K3

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ALL THE BEST

No of Copies:22 **Prepared by Mrs. S. Seetha**