

The Rings of Continuous Functions

BY

Vijayalakshmi. S.

A DISSERTATION SUBMITTED TO THE AVINASHILINGAM INSTITUTE FOR HOME SCIENCE
AND HIGHER EDUCATION FOR WOMEN (DEEMED UNIVERSITY) COIMBATORE-641 043,
IN PARTIAL FULFILMENT OF THE REQUIREMENTS FOR THE DEGREE OF
MASTER OF SCIENCE IN MATHEMATICS

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INTRODUCTION

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Introduction

INTRODUCTION.

In this thesis we attempt to give a brief survey of the fundamental results of "Rings of continuous functions on a topological space".

In the first chapter, we define lattice operations and Ring operations in $C(X)$, the set of all continuous functions from a topological space X into a topological space R and study the interrelations between them. The zero-sets of continuous functions play an important role in the study of $C(X)$. The important results proved in the first chapter are as follows :

- (1) Every (ring) homomorphism from $C(Y)$ or $C^*(Y)$ into $C(X)$ is a lattice homomorphism.
- (2) Every (ring) homomorphism from $C(Y)$ or $C^*(Y)$ into $C(X)$ takes bounded functions to bounded functions.

Denoting by $Z(f)$, the zero-set of the function f in $C(X)$, by $Z[X]$, the collection of all $Z(f)$; f in $C(X)$, we find that $Z[X]$ is closed under finite intersection. Completely separated sets are characterized in terms of zero-sets also as follows :

"Two sets are completely separated iff they are contained in disjoint zero-sets. Moreover, completely separated sets have disjoint zero-set-neighborhoods".

A subspace S of X is C - embedded in X if every function in $C(S)$ can be extended to a function in $C(X)$. Likewise, S is C^* - embedded in X if every function in $C^*(S)$ can be extended to a function $C^*(X)$. The basic result about C^* - embedding (Urysohn's theorem) and the relation between C^* - embedding and C - embedding are as follows :

"A subspace S of X is C^* - embedded in X iff any two completely separated sets in S are completely separated in X ".

"A C^* - embedded subset is C - embedded iff it is completely separated from every zero-set disjoint from it".

In the second chapter, we study the ideals and z - filters in $C(X)$. The important results proved here are as follows :

- {1) If I is an ideal in $C(X)$, then the family $Z [I] = \{ Z (f) : f \in I \}$ is a z - filter on X .

- (2) If \mathcal{F} is a z -filter on X , then the family $z^{\leftarrow}[\mathcal{F}] = \{f : z(f) \in \mathcal{F}\}$ is an ideal in C .

Connecting maximal ideal and z -ultrafilter on $C(X)$ we have the following results :

- (1) If M is a maximal ideal in $C(X)$, then $z(M)$ is a z -ultrafilter on X .
- (2) If A is a z -ultrafilter on X , then $z^{\leftarrow}[A]$ is a maximal ideal in C .

The mapping z is one - one from the set of all maximal ideals in C onto the set of all z -ultrafilters.

Connecting z -ideals and prime ideals we have the following theorem :

"For any z -ideal I in C , the following are equivalent"

- (1) I is prime
- (2) I contains a prime ideal
- (3) For all $g, h \in C$, if $gh = 0$ then $g \in I$ or $h \in I$
- (4) For every $f \in C$, there is a zero-set in $z[I]$ on which f does not change sign.

Chapter I

CHAPTER I

RING OPERATIONS AND LATTICE

OPERATIONS IN $C(X)$

Section 1

Definition : 1.1.1

S is denumerable (countably infinite) iff there is a 1 - 1 function $f ; I^+ \xrightarrow{\text{onto}} S$ where I^+ is a set of positive integers.

Definition : 1.1.2

A set S is said to be countable if it is finite or denumerably infinite.

Definition : 1.1.3

Let \mathcal{S} be a nonempty family of sets. \mathcal{S} is said to have the finite (respectively countable) intersection property provided that the intersection of any finite (respectively countable) number of members of \mathcal{S} is nonempty.

Definition : 1.1.4

Let S be a nonempty set. A partial order relation in S is a relation which is symbolized by \leq and assumed to have the following properties:

- 1) $a \leq a$ for every a (reflexivity)
- 2) $a \leq b$ and $b \leq a \Rightarrow a = b$ (antisymmetry)
- 3) $a \leq b$ and $b \leq c \Rightarrow a \leq c$ (transitivity)

A nonempty set S in which there is defined a partial order relation is called a partially ordered set.

Definition : 1.1.5

A partial ordering $<$ on S is a total ordering iff for every $a, b \in S$ either $a < b$ or $b < a$

Definition : 1.1.6

A mapping ϕ from a partially ordered set A into a partially ordered set E is said to preserve order if $a \leq b$ in A implies $\phi a \leq \phi b$ in E .

Definition : 1.1.7

A maximal element of A is an element a such that $X \geq a$ implies $X = a$. The largest element of A necessarily unique. If it exists - is the element C such that $c \geq x$ for all $X \in A$. Minimal and smallest are defined similarly.

Definition : 1.1.8

In a partially ordered set, the symbol $a \vee b$ denotes $\sup \{a, b\}$, that is, the smallest element C - if

one exists - such that $c \geq a$ and $c \geq b$ Likewise, $a \wedge b$ stands for $\inf\{a, b\}$.

When both $a \vee b$ and $a \wedge b$ exist for all $a, b \in A$, then A is called a lattice. A subset S is a sublattice of A provided that, for all $x, y \in S$ the elements $x \vee y$ and $x \wedge y$ of A belongs to S .

Definition : 1.1.9

A mapping ϕ from a lattice A into a lattice E is a lattice homomorphism into E provided that

$$\phi(a \vee b) = \phi a \vee \phi b \quad \text{and} \quad \phi(a \wedge b) = \phi a \wedge \phi b.$$

Note :

$\phi[A]$ is a sublattice of E .

Definition : 1.1.10

A partially ordered set in which every nonempty subset has both a supremum and an infimum is said to be lattice - complete.

Definition : 1.1.11

A subset S of a totally ordered set A is said to be confinal (respectively coinital) if for every $x \in A$ there exists $s \in S$ such that $s \geq x$ (respectively $s \leq x$)

Proposition : 1.1.12

Hausdorff's maximal principle.

Every partially ordered set contains a maximal chain. (that is maximal in the class of all chains as partially ordered by set inclusion).

Definition : 1.1.13

A discrete subspace means a subspace that is discrete in its relative topology but not necessarily closed in the space.

Example : $\{1/n\}_{n \in \mathbb{N}}$ is a discrete subspace of \mathbb{R} .

Definition : 1.1.14

A will denote a commutative ring having a unity element, that is, an element 1 , necessarily unique such that $1 \cdot a = a$ for all a . A unit of A is an element a that has a multiplicative inverse a^{-1} that is an element such that $aa^{-1} = 1$.

Definition : 1.1.15

Ideal will always mean proper ideal that is a subring $I \neq A$ such that $a \in I$ implies $xa \in I$ for all $x \in A$. Thus an ideal cannot contain a unit.

Definition : 1.1.16

The intersection of any nonempty family of ideals is an ideal. The smallest ideal containing an ideal I and

an element a is denoted by (I, a) ; it consists of all elements of the form $i + xa$ where $i \in I$ and $x \in A$.

Definition : 1.1.17

An ideal P in A is prime if $ab \in P$ implies $a \in P$ or $b \in P$, that is A/P is an integral domain.

Proposition : 1.1.18

Every maximal ideal is prime.

Proof :

If M is a maximal ideal, with respect to set inclusion then $a \notin M$ implies $1 \in (M, a)$, so that $1 \equiv xa \pmod{M}$ for some $x \in A$. Conversely $1 \equiv xa \pmod{M}$ implies $1 \in (M, a)$. Thus, an ideal M is maximal if and only if A/M is a field, that is, every maximal ideal is prime.

Result : 1.1.19

The maximal principle every ideal is contained in a maximal ideal and hence that every non-unit of A belongs to some maximal ideal.

Definition : 1.1.20

Let a partial ordering relation be defined on the ring A . Then A is called a partially ordered ring provided that

- 1) $a \geq b$ implies $a + x \geq b + x$ for all x
- 2) $a \geq b$ and $b \geq 0$ implies $ab \geq 0$.

Remark : 1.1.21

$a \geq b$ if and only if $a - b \geq 0$

$a \geq 0$ if and only if $-a \leq 0$

if $a \leq r$ and $b \leq s$ then $a + b \leq r + s$.

To define such a partial ordering relation, it is enough to specify the elements ≥ 0 subject to :

$a \geq 0$ and $-a \geq 0$ iff $a = 0$ and

$a \geq 0$ and $b \geq 0$ implies $a + b \geq 0$ and $ab \geq 0$ and then to

define $a \geq b$ to mean $a - b \geq 0$.

To establish that a homomorphism ϕ from A into a partially ordered ring is order-preserving, it suffices to show that $a \geq 0$ implies $\phi a \geq 0$.

Definition : 1.1.22

If $a \vee b$ exists, for all a and b then $a \wedge b$ exists and $a \wedge b = -(-a \vee -b)$. A is called a lattice in which case it is called a lattice - ordered ring if $a \vee b$ exists for each a and b .

In a lattice - ordered ring $|a|$ denotes the element $a \vee -a$; it satisfies $|a| \geq 0$

A is called totally ordered if every element is comparable with 0 .

Definition : 1.1.23

Let X be any set. A collection \mathcal{Q} of nonempty subsets of X is said to be a filter on X if

- 1) $\mathcal{Q} \neq \emptyset$
- 2) if A and B are in \mathcal{Q} then $A \cap B$ is also in \mathcal{Q}
- 3) If $A \in \mathcal{Q}$ and $A \subset B$ then $B \in \mathcal{Q}$

Note :

An ultrafilter on X is a maximal filter on X .

Section 2

Notation :

The collection of all functions from the topological space X into the set R of real numbers is denoted by R^X

Proposition : 1.2.1

R^X is a commutative ring with unity element provided that X is not empty.

Proof :

Define the addition and multiplication of two functions f, g in R^X as follows :

$$(f+g)(x) = f(x) + g(x) \text{ and } (fg)(x) = f(x)g(x)$$

Then R^X is a commutative ring with unity element. In which we have the following: The zero element is the constant function 0 and the unity element is the constant function 1.

The additive inverse $-f$ of f is characterized by the formula $(-f)(x) = -f(x)$. The multiplicative inverse f^{-1} of f is characterized by the formula $f^{-1}(x) = \frac{1}{f(x)}$

Definition : 1.2.2

The partial ordering on R^X is defined by $f \geq g$ iff $f(x) \geq g(x)$ for all $x \in X$.

Note : This is a partial ordering relation, since R is ordered.

Theorem : 1.2.3

R^X is a lattice - ordered ring.

Proof :

Let $f, g \in R^X$

Then the partial ordering relation on R^X is defined by

$f \leq g$ iff $f(x) \leq g(x)$ for all $x \in X$.

Therefore, for every h in R^X

$f+g \leq g+h$ iff $f \leq g$.

That is, the ordering relation is invariant under translation.

Also we have $f \geq 0$ and $g \geq 0 \Rightarrow fg \geq 0$ Thus, R^X is a partially ordered ring. For any f and g in R^X , if we define the function k as $k(x) = f(x) \vee g(x)$ then k satisfies $k \geq f$ and $k \geq g$. Also, for all h such that $h \geq f$ and $h \geq g$ we have $h \geq k$. Therefore, $f \vee g$ exists and it is equal to k .

Similarly $(f \wedge g)(x) = f(x) \wedge g(x)$. Hence, R^X is a lattice ordered ring.

Result : 1.2.4

The function $|f|$ defined as $f \vee -f$ satisfies $|f|(x) = |f(x)|$

Result : 1.2.5

R is totally ordered but R^X is not if X contains at least two points.

Definition : 1.2.6

The set of all continuous functions from the topological space X into the topological space R is denoted by $C(X)$ or C .

Theorem : 1.2.7

$C(X)$ is a commutative ring, a subring of R^X

Proof :

We know that the sum and product of two continuous functions are continuous. And if $f \in C$ then $-f \in C$. The constant function $1 \in C$ and it is the unity element. Therefore $C(X)$ is a commutative ring, a subring of R^X .

Theorem : 1.2.8

C is a sublattice of R^X

Proof :

Since f is continuous, $|f|$ is also continuous. For $f, g \in C$, we have $f \vee g = 2^{-1}(f+g+|f-g|)$

$\Rightarrow f \vee g \in C$

Similarly $f \vee g \in C$

$\therefore C$ is a sublattice of R^X

Result : 1.2.9

If $f \geq 0$, then f has a unique nonnegative r^{th} power ($r \in R, r > 0$) denoted by f^r and defined by $f^r(x) = f(x)^r$ ($x \in X$)

and if f is continuous, then f^r is also continuous. If n is odd ($n \in \mathbb{N}$) then $f^{1/n}$ may be defined as a function in C for any $f \in C$.

Proposition : 1.2.10

If the space X is discrete, then every function on X is continuous, so that R^X is the same as $C(X)$. Conversely if $R^X = C(X)$, then the characteristic function of every set in X is continuous, which shows that the space is discrete.

Definition : 1.2.11

The set of all bounded function in $C(X)$ is defined by $C^* = C^*(X)$

Result : 1.2.12

C^* is a subring and sublattice of C

Definition : 1.2.13

If the subring $C^*(X)$ is all of $C(X)$ that is, every function in $C(X)$ is bounded then X is said to be pseudocompact.

Example : 1.2.14

Every compact space is pseudocompact.

Proposition : 1.2.15

Every countably compact space is pseudocompact.

Proof :

By definition, X is countably compact provided that every family of closed sets with the finite intersection property has the countable intersection property, that is, every countable open cover has a finite subcover. Suppose, now, that X is countably compact, and consider any function f in $C(X)$. The sets $\{x : |f(x)| < n\}$ for $n \in \mathbb{N}$ constitute a countable open cover of X . Hence a finite subfamily covers X , that is, f is bounded.

Theorem : 1.2.16

Every (ring) homomorphism t from $C(Y)$ or $C^*(Y)$ into $C(X)$ is a lattice homomorphism.

Proof :

Let t be a ring homomorphism from $C(Y)$ or $C^*(Y)$ into $C(X)$

Since $g = |g|^2$ implies $tg = (t|g|)^2$, t sends nonnegative functions into nonnegative functions, that is, t is order - preserving.

$$(t|g|)^2 = t(|g|)^2 = t(g^2) = (tg)^2$$

and since $t|g| \geq 0$, we have $t|g| = |tg|$.

combaining this with the formula

$$(g \vee h) + (g \vee h) = g+h+|g-h|$$

We get

$$t(gvh)+t(gvh) =tg+th+|tg-th| = (tgvth) + (tgvth)$$

But $t(gvh)$ and $tgvth$ are real - valued functions (defined on X) and therefore $t(gvh) = tgvth$.

Theorem : 1.2.17

Every (ring) homomorphism t from $C(Y)$ or $C^*(Y)$ into $C(X)$ takes bounded functions to bounded functions.

Proof :

Let t be a ring homomorphism for $C(Y)$ or $C^*(Y)$ into $C(X)$. As with any homomorphism $t1 = t(1.1) = (t1) (t1)$, so that the function $t1$ in $C(X)$ is an idempotent. Therefore it can assume no values on X other than 0 or 1. Hence for each $n \in \mathbb{N}$, the function $tn = t1+t1+\dots+t1$ assumes no values other than 0 or n , consider, now, any function g in $C^*(Y)$. Since $|g| \leq n$, for suitable $n \in \mathbb{N}$, we have $|tg| \leq tn \leq n$

Corollary : 1.2.18

If X is not pseudocompact then $C(X)$ is not a homomorphic image of $C^*(Y)$, for any Y

Note :

$C(X)$ and $C^*(X)$ are isomorphic only if they are identical.

Corollary : 1.2.19

An isomorphism from $C(Y)$ onto $C(X)$ carries $C^*(Y)$ onto $C^*(X)$.

The above result is also a corollary of the next theorem.

Theorem : 1.2.20

Let t be a homomorphism from $C(Y)$ into $C(X)$ whose image contains $C^*(X)$. Then t carries $C^*(Y)$ into $C^*(X)$.

Proof :

To prove $t1 = 1$

Let $k \in C(Y)$ such that $tk = 1$

Then $t1 = (tk)(t1) = t(k1) = tk = 1$

For each $n \in \mathbb{N}$ we have $tn = n$

Claim :

To find $g \in C^*(Y)$ such that $tg = f$ where given $f \in C^*(X)$

Choose $h \in C(Y)$ for which $th = f$ and Choose $n \in \mathbb{N}$ such that $|f| \leq n$.

If we define $g = (-nvh) \wedge n$, then $g \in C^*(Y)$ and $tg = (-nvf) \wedge n = f$.

Section 3

Definition : 1.3.1

The set $f^{-1}(0) = \{x \in X \mid f(x) = 0\}$ Where $f \in C(X)$ is called the Zero - set of f . It is denoted by $Z(f)$ or $Z_X(f)$

Note :

Any set that is a zero-set of some function in $C(X)$ is called a zero-set in X . Thus, Z is a mapping from the ring C into the set of all zero-sets in X .

Results : 1.3.2

(1) $Z(f) = Z(|f|) = Z(f^n)$ (for all $n \in \mathbb{N}$), $Z(0) = X$ and $Z(1) = \emptyset$.

(2) $Z(fg) = Z(f) \cup Z(g)$

(3) $Z(f^2 + g^2) = Z(|f| + |g|) = Z(f) \cap Z(g)$

(4) If $f \in C$ and $g = |f| \wedge 1$, then $g \in C^*$ and $Z(g) = Z(f)$.

Hence C and C^* yield the same zero-sets.

Definition : 1.3.3

Every set of the form $\{x : f(x) \geq 0\}$ is a zero-set.

$$\{x : f(x) \geq 0\} = Z(f \wedge 0) = Z(f - |f|)$$

Likewise,

$$\{x : f(x) \leq 0\} = Z(f \vee 0) = Z(f + |f|)$$

Thus, the open sets

$$\text{Pos } f = \{x : f(x) > 0\}$$

and

$\text{neg } f = \{x : f(x) < 0\} = \text{pos } (-f)$ are cozero-sets, that is, complements of zero-sets. Conversely, every cozero-set is of the form $X - Z(f) = \text{pos } |f|$

Definition : 1.3.4

For a function f in $C(X)$, f^{-1} exists if and only if f vanishes nowhere on X . In other words, f is a unit of C if and only if $Z(f) = \emptyset$

Result : 1.3.5

If f is a unit of C^* , then $Z(f) = \emptyset$. The converse need not hold, however, as the multiplicative inverse f^{-1} of f in C may not be a bounded function. In fact, the condition for C^* is clearly the following a function f in C^* is a unit of C^* if and only if it is bounded away, from zero, that is, $|f| \geq r$ for some $r > 0$.

Definition : 1.3.6

$Z[C']$ where $C' \subset C(X)$ is defined as the family of zero-sets $\{Z(f) | f \in C'\}$. The family $Z[C(X)]$ of all zero-sets in X will be denoted by $Z(X)$.

Note :

$Z[C^*(X)]$ is same as $Z(X)$ and $Z(X)$ is closed under the formation of finite unions and finite intersections.

Proposition : 1.3.7

$Z(X)$ is closed under countable intersection.

Proof :

Given $f_n \in C$, define $g_n = |f_n| \wedge 2^{-n}$, and let $g(x) = \sum_{n \in \mathbb{N}} g_n(x)$ ($x \in X$) since $|g_n| \leq 2^{-n}$, the series converges uniformly, and therefore g is a continuous function.

Clearly,

$$Z(g) = \sum_{n \in \mathbb{N}} Z(g_n) = \sum_{n \in \mathbb{N}} Z(f_n)$$

Proposition : 1.3.8

$Z(X)$ need not be closed under infinite union.

For example, every one-element set in R is a zero-set in R , so that an infinite union of zero-sets need not even be closed. In a general space, even a closed, countable union of zero-sets need not be a zero-set. Nor need $Z(X)$ be closed under arbitrary intersection.

Definite : 1.3.9

Two subsets A and B of X are said to be completely separated (from one another) in X if there exists a function f in $C^*(X)$ such that $0 \leq f \leq 1$, $f(x) = 0$ for all $x \in A$, and $f(x) = 1$ for all $x \in B$.

Clearly, it is enough to find a function g in $C(X)$ satisfying $g(x) \leq 0$ for all $x \in A$ and $g(x) \geq 1$ for all $x \in B$;

for then $(0 \vee g) \wedge 1$ has the required properties. And, the numbers 0 and 1 may be replaced in the definition by any real numbers r and s . (with $r < s$)

Result : 1.3.10

Two sets contained (respectively) in completely separated sets are completely separated, and that two sets are completely separated if and only if their closures are.

Note :

When a zero-set Z is a neighborhood of a set A , we refer to Z as a zero-set-neighborhood of A .

Theorem : 1.3.11

Two sets are completely separated if and only if they are contained in disjoint zero-sets. Moreover, completely separated sets have disjoint zero-set-neighborhoods.

Proof :

We begin with the sufficiency. If $Z(f) \cap Z(g) = \emptyset$, Then

$|f| + |g|$ has no zeros, and we may define

$$h(x) = \frac{|f(x)|}{|f(x)| + |g(x)|} \quad (x \in X)$$

-in brief, $h = |f| \cdot [|f| + |g|]^{-1}$. Then $h \in C(X)$, and h is equal to 0 on $Z(f)$ and to 1 on $Z(g)$

Coversely, if A and A' are completely separated, there exists $f \in C(X)$ equal to 0 on A and to 1 on A' . The

disjoint sets $F = \{x : f(x) \leq 1/3\}$, $F' = \{x : f(x) \geq 2/3\}$ are zero-set-neighborhoods of A and A' , respectively.

Corollary : 1.3.12

If A and A' are completely separated, then there exist zero-sets F and Z such that $A \subset X - Z \subset F \subset X - A'$

In the above theorem if we take $Z = \{x : f(x) \geq 1/3\}$ We get the result.

Definition : 1.3.13

A subspace S of X is C -embedded in X if every function in $C(S)$ can be extended to a function in $C(X)$. Likewise, we say that S is C^* -embedded in X if every function in $C^*(S)$ can be extended to a function in $C^*(X)$.

Result : 1.3.14

If a function f in $C^*(S)$ has an extension g in $C(X)$, then f also has a bounded extension: if n is a bound for $|f|$, then $(-nvg) \wedge n$ belongs to $C^*(X)$ and agrees with f on S . Thus, S is C^* -embedded in X if and only if every function in $C^*(S)$ can be extended to function in $C(X)$.

If $S \subset X \subset Y$, and X is C -embedded in Y , then S is C -embedded in Y if and only if it is C -embedded in X . The corresponding transitivity is valid for C^* .

Theorem : 1.3.15

Urysohn's Extension Theorem.

A subspace S of X is C^* -embedded in X if and only

if any two completely separated sets in S are completely separated in X .

Proof :

Necessity : If A and B are completely separated sets in S , there exists a function f in $C^*(S)$ that is equal to 0 on A and 1 on B . By hypothesis, f has an extension to a function g in $C^*(X)$. Since g is 0 on A and 1 on B , these sets are completely separated in X .

Sufficiency : Let f_1 be a given function in $C^*(S)$. Then $|f_1| \leq m$ for some $m \in \mathbb{N}$. For convenience of notation, define

$$r_n = \frac{m}{2} \left(\frac{2}{3}\right)^n \quad (n \in \mathbb{N})$$

Then $|f_1| \leq m = 3r_1$. Inductively, given $f_n \in C^*(S)$ with $|f_n| \leq 3r_n$ define.

$$A_n = \{s \in S : f_n(s) \leq -r_n\} \text{ and}$$

$$B_n = \{s \in S : f_n(s) \geq r_n\}$$

Then A_n and B_n are completely separated in S , and so, by hypothesis, they are completely separated in X . Accordingly, there exists a function g_n in $C^*(X)$, equal to $-r_n$ on A_n , and to r_n on B_n , and with $|g_n| \leq r_n$.

The values of f_n and g_n on A_n lie between $-3r_n$ and $-r_n$; on B_n , they lie between r_n and $3r_n$; and else where on S , they

are between $-r_n$ and r_n . We now define

$$f_{n+1} = f_n - g_n|_S.$$

and we have $|f_{n+1}| \leq 2r_n$

that is, $|f_{n+1}| \leq 3r_{n+1}$

This completes the induction step. Now put $g(x) = \sum_{n \in \mathbb{N}} g_n(x)$

Because the series converges uniformly, this defines g as a continuous function on X . Next, we observe that

$$\begin{aligned} (g_1 + g_2 + \dots + g_n)|_S &= (f_1 - f_2) + \dots + (f_n - f_{n+1}) \\ &= f_1 - f_{n+1}. \end{aligned}$$

Since the sequence $(f_{n+1}(s))$ approaches 0 at every point s of S , this shows that $g(s) = f_1(s)$. Thus, g is an extension of f_1 . This completes the proof.

Theorem : 1.3.16

A C^* -embedded subset is C -embedded if and only if it is completely separated from every zero-set disjoint from it.

Proof :

Let S be C^* -embedded in X .

Necessity : Given a zero-set $Z(h)$ in X , disjoint from S , put $f(s) = \frac{1}{h(s)}$ for $s \in S$. This defines f as a continuous

function on S . Let g be continuous extension of f to all of X . Then gh belongs to $C(X)$, and is equal to 1 on S and to 0 on $Z(h)$

Sufficiency : Consider any function f in $C(S)$. Then $\arctan \circ f$ belongs to $C^*(S)$, and has an extension to a function g in $C(X)$. The set $Z = \{x \in X : |g(x)| \geq \pi/2\}$ belongs to $Z(X)$, and is disjoint from S . By hypothesis, there is a function h in $C(X)$ equal to 1 on S and to 0 on Z and with $|h| \leq 1$. The function gh then agrees with $\arctan \circ f$ on S , and satisfies $|(gh)(x)| < \frac{\pi}{2}$ for every x . Hence $\tan \circ (gh)$ is a real - valued, continuous extension of f to all of X .

Theorem : 1.3.17

If there exists a function in $C(X)$ that carries S homeomorphically onto a closed set in R , then S is C -embedded in X .

Proof :

Let h denote the postulated function in $C(X)$. Then $\theta = (h/s)^{\leftarrow}$ is a continuous mapping from $H = h[S]$ onto S , with $\theta(h(s)) = s$ (for $s \in S$), consider, now, an arbitrary function f in $C(S)$. The composition $f \circ \theta$ belongs to $C(H)$. Since H is closed in R , by hypothesis, it is C -embedded and so there is a function g in $C(R)$ that agrees with $f \circ \theta$ on H . Then $g \circ h$ is in $C(X)$, and for all $s \in S$, We have

$$(g \circ h)(s) = f(\theta(h(s))) = f(s),$$

that is, $g \circ h$ is an extension of f .

Corollary : 1.3.18

Let $E \subset X$, and suppose that some function $h \in C(X)$ is unbounded on E . Then E contains a copy of N , C -embedded in X , on which h approaches infinity. Also, X is pseudocompact if and if it contains no C -embedded copy of N .

Chapter II

CHAPTER 2

PROPERTIES OF Z - FILTERS

Section 1

Definition : 2.1.1

A proper subset I of C is an ideal in C provided that I is a subring such that $gf \in I$ whenever $f \in I$, for arbitrary $g \in C$.

Result : 2.1.2

Since a proper ideal contains no unit, The ring C itself is an improper ideal.

Note :

Throughout the chapter the word ideal will always mean proper ideal.

Proposition : 2.1.3

The intersection of any nonempty family of ideal is an ideal. Every ideal is embeddable in a maximal ideal. Every maximal ideal M is prime, that is, if $fg \in M$, then $f \in M$ or $g \in M$.

Definition : 2.1.4

The smallest ideal (perhaps improper) containing

a given collection of ideals I, \dots and elements f, \dots
 is denoted by (I, \dots, f, \dots)
 It consists of all elements of C expressible as (finite)
 sums $if + \dots + sf + \dots$ where $i \in I, \dots$ and where s, \dots
 are arbitrary function in C .

Remark : 2.1.5

If I is an ideal in C , then $I \cap C^*$ is an ideal in C^*

Definition : 2.1.6

A nonempty subfamily \mathcal{F} of $Z(X)$ is called a
 z-filter on X provided that

- (1) $\emptyset \notin \mathcal{F}$
- (2) if $Z_1, Z_2 \in \mathcal{F}$ then $Z_1 \cap Z_2 \in \mathcal{F}$ and
- (3) if $Z \in \mathcal{F}, Z' \in Z(X)$ and $Z' \supset Z$ then $Z' \in \mathcal{F}$

Result : 2.1.7

By (3), X belongs to every z-filter. Because of
 (3), (2) may be replaced in the above list by (2') if Z_1, Z_2
 $\in \mathcal{F}$ then, $Z_1 \cap Z_2$ contains a member of \mathcal{F}

Definition : 2.1.8

Every family of \mathcal{B} of zero-sets that has the
 finite intersection property is contained in a z-filter;
 the smallest such is the family \mathcal{F} of all zero-sets

containing finite intersections of members of \mathcal{B} . We say that \mathcal{B} generates the z-filter \mathcal{F} . When \mathcal{B} itself is closed under finite intersection, it is called a base for \mathcal{F} .

Remark : 2.1.9

The definition of z-filter is an analogue of the definition of filter. A z-filter is a topological object, while a filter is a purely set-theoretic one. In a discrete space, every set is a zero-set, so that filters and z-filters are the same in discrete spaces.

Proposition : 2.1.10

In any space X , the intersection with $Z(X)$ of any filter is a z-filter. Conversely, if \mathcal{F}' is the smallest filter containing a given z-filter \mathcal{F} (that is, \mathcal{F} is a base for \mathcal{F}'), then $\mathcal{F}' \cap Z(X) = \mathcal{F}$

Theorem : 2.1.11

- (a) If I is an ideal in $C(X)$, then the family $Z[I] = \{Z(f) : f \in I\}$ is a z-filter on X .
- (b) If \mathcal{F} is a z-filter on X , then the family $Z^{\leftarrow}[\mathcal{F}] = \{f : Z(f) \in \mathcal{F}\}$ is an ideal in C .

Proof :

- (a) (i) since I contains no unit, $\emptyset \notin Z[I]$

(ii) Let $z_1, z_2 \in Z[I]$. Let $f_1, f_2 \in I$

satisfy $z_1 = Z(f_1)$, $z_2 = Z(f_2)$. Since I is an ideal $f_1^2 + f_2^2 \in I$. Hence $z_1 \cap z_2 = Z(f_1^2 + f_2^2) \in Z[I]$

(iii) Let $Z \in Z[I]$, and $Z' \in Z(X)$. Let $f \in I$, $f' \in C$

satisfy $Z = Z(f)$, $Z' = Z(f')$. Since I is an ideal, we have $ff' \in I$. Hence if $Z' \supset Z$ then $Z' = Z \cup Z' = Z(ff') \in Z(I)$

(b) Let $J = Z^{\leftarrow}[\mathcal{F}]$. By (1) of definition 2.1.6 J

contains no unit. Let $f, g \in J$ and let $h \in C$. Then $Z(f-g)$

$\supset Z(f) \cap Z(g) \in \mathcal{F}$, by (2) of definition 2.1.6 $Z(hf) \supset Z(f)$

Hence $Z(f-g) \in \mathcal{F}$ and $Z(hf) \in \mathcal{F}$. Hence $f-g \in J$ and $hf \in J$, by (3) of definition 2.1.6

Therefore $f-g \in J$ and $hf \in J$. Thus, J is an ideal in C .

Remark : 2.1.12

$(f, g) \neq C$ if and only if $Z(f)$ meets $Z(g)$, hence if and only if $f^2 + g^2$ or $|f| + |g|$ is not a unit of C .

Any mapping, Z satisfies (for $\mathcal{F} \subset Z(X)$)
and $Z[Z^{\leftarrow}(\mathcal{F})] = \mathcal{F}$ and $Z[Z[I]] \supset I$.

The first relation implies that every z -filter is of the form $Z[J]$ for some ideal J in C . In the second relation, the inclusion may be proper.

Definition : 2.1.13

By a z -ultrafilter on X is meant a maximal z -filter, that is, one not contained in any other z -filter.

Remark : 2.1.14

A z -ultrafilter is a maximal subfamily of $Z(X)$ with the finite intersection property. It follows from the maximal principle that every subfamily of $Z(X)$ with the finite intersection property is contained in some z -ultrafilter.

In a discrete space, z -ultrafilters are the same as ultrafilters, that is, maximal filters.

Theorem : 2.1.15

- (a) If M is a maximal ideal in $C(X)$, then $Z[M]$ is a z -ultrafilter on X .
- (b) If \mathcal{A} is a z -ultrafilter on X , then $Z[\mathcal{A}]$ is a maximal ideal in C .

The mapping Z is one - one from the set of all maximal ideals in C onto the set of all z -ultrafilters.

Proof :

Since Z and Z^{\leftarrow} preserve inclusion, the result follows at once from 2.1.11

Theorem : 2.1.16

- (a) Let M be a maximal ideal in $C(X)$; if $Z(f)$ meets every member of $Z[M]$, then $f \in M$.

(b) Let \mathcal{A} be a z -ultrafilter on X ; if a zero-set Z meets every member of \mathcal{A} , then $Z \in \mathcal{A}$.

Proof :

By theorem 2.1.15, the two statements are equivalent. In (b) $\mathcal{A} \cup \{Z\}$ generates a z -filter. As this contains the maximal z -filter \mathcal{A} , it must be \mathcal{A} .

The properties stated in the theorem are, ~~infact~~, characteristic of maximal ideals and z -filters; if a z -filter \mathcal{A} contains every zero-set that meets all members of \mathcal{A} , then, clearly \mathcal{A} is a z -ultrafilter.

Section 2.

Definition : 2.2.1

An ideal I in $C(X)$ is called a z -ideal if $z(f) \in Z[I]$ implies $f \in I$. - that is to say, if $I = z^{-1}[Z[I]]$

Result : 2.2.2

If \mathcal{F} is a z -filter, then $z^{-1}[\mathcal{F}]$ is a z -ideal (since $\mathcal{F} = z[Z^{-1}[\mathcal{F}]]$). Hence if J is any ideal in C , then $I = z^{-1}[Z[J]]$ is a z -ideal, which is the smallest z -ideal containing J . Also every maximal ideal is a z -ideal.

The intersection of an arbitrary (nonempty) family of z -ideals is a z -ideal.

The mapping z is one - one from the set of all z -ideals onto the set of all z -filters.

Result : 2.2.3

In $C(N)$, every ideal I is a z -ideal

Proof :

Suppose that $z(f) = z(g)$, where $g \in I$. Define h as follows; $h(n) = 0$ for $n \in z(g)$ and $h(n) = \frac{f(n)}{g(n)}$ for $n \notin z(g)$. Since N is discrete, h is continuous. Evidently, $f = hg$. Therefore $f \in I$ which implies I is a z -ideal.

Theorem : 2.2.4

Every z-ideal in $C(X)$ is an intersection of prime ideals.

Proof :

$Z(f^n) = Z(f)$ for every $n \in \mathbb{N}$. Therefore if I is any z-ideal, then $f^n \in I$ implies $f \in I$. But this property characterizes I as the intersection of all the prime ideals containing it, Hence the proof.

The next theorem clarifies to some extent the relation between prime ideals and z-ideals.

Theorem : 2.2.5

For any z - ideal I in C , the following are equivalent.

- (1) I is prime
- (2) I contains a prime ideal.
- (3) For all $g, h \in C$, if $gh = 0$, then $g \in I$ or $h \in I$
- (4) For every $f \in C$, there is a zero-set in $Z[I]$ on which f does not change sign.

Proof :

(1) Implies (2)

Trivial

(2) implies (3).

If I contains a prime ideal P , and $gh = 0$, then $gh \in P$ whence either g or h is in P and hence in I .

(3) implies (4)

It suffices to observe that $(f \vee 0)(f \wedge 0) = 0$ for every $f \in C$.

(4) implies (1)

Given $gh \in I$, consider the function $|g| - |h|$.

By hypothesis, there is a zero-set Z of I on which $|g| - |h|$ is nonnegative, say. Then every zero of g on Z is a zero of h . Hence $Z(h) \supset Z \cap Z(h) = Z \cap Z(gh) \in Z[I]$, so that $Z(h) \in Z[I]$. Since I is a z -ideal, $h \in I$. Thus, I is prime.

Proposition : 2.2.6

If J and J' are ideals, neither containing the other, the $J \cap J'$ is not prime.

In fact, this holds in any commutative ring. For, when $a \in J - J'$ and $a' \in J' - J$ then neither a nor a' belongs to $J \cap J'$, but $aa' \in J \cap J'$.

Theorem : 2.2.7

Every prime ideal in $C(X)$ is contained in a unique maximal ideal.

Proof :

We know that every ideal is contained in at least

one maximal ideal. If M and M' are distinct maximal ideals, their intersection is a z -ideal (since M and M' are z -ideals), but it is not prime. Therefore by theorem 2.2.5 $M \cap M'$ contains no prime ideal.

Note :

The corresponding theorem is valid for C^* .

Definition : 2.2.8

By a prime z -filter, we shall mean z -filter \mathcal{F} with the following property: whenever the union of two zero-sets belongs to \mathcal{F} , then at least one of them belongs to \mathcal{F} .

Theorem : 2.2.9

- (a) If P is a prime ideal in $C(X)$, then $Z[P]$ is a prime z -filter.
- (b) If \mathcal{F} is a prime z -filter, then $Z^{\leftarrow}[\mathcal{F}]$ is a prime z -ideal.

Proof :

- (a) Let $Q = Z^{\leftarrow}[Z[P]]$. Then $Z[Q] = Z[P]$, and Q is a z -ideal containing the prime ideal P . By theorem 2.2.5 Q is prime. Suppose, now, that $Z(f) \cup Z(g) \in Z[P]$. This implies that $Z(fg) \in Z[Q]$;

therefore fg belongs to the z - ideal Q . Since Q is prime, it contains f , say. Then $Z(f) \in Z[Q] = Z[P]$

(b) We know that the ideal $P = Z^{\leftarrow} [\mathcal{F}]$ is a z -ideal. Suppose that $fg \in p$. Then $Z(fg) = Z(f) \cup Z(g) \in Z[P] = \mathcal{F}$. By hypothesis, $Z(f)$, say, belongs to $Z[P]$. Then f belongs to the z - ideal P .

Result : 2.2.10

A prime z - filter is contained in a unique z - ultrafilter.

Since every maximal in C is prime, every z - ultrafilter is a prime z - filter. If zero-sets Z and Z' do not belong to a z - ultrafilter A , then, by theorem 2.1.16 (b), there exist $A, A' \in A$ such that $Z \cap A = Z' \cap A' = \emptyset$. Then $Z \cup Z'$ does not meet the member $A \cap A'$ of A , and hence does not belong to A .

Result : 2.2.11

In a discrete space X , there is no difference between prime and maximal, that is, every prime filter U is an ultrafilter. For if $A \notin U$, then $X - A \in U$; hence A cannot be adjoined to U .

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