



**Avinashilingam Institute for Home Science and Higher Education for Women**

Deemed to be University Estd. u/s 3 of UGC Act 1956, Category 'A' by MHRD (now MoE)

Re-accredited with 'A++' Grade by NAAC. CGPA 3.65/4, Category I by UGC

Coimbatore - 641 043, Tamil Nadu, India

**Continuous Internal Assessment Test II – APR 2025**

**SEMESTER VI**

**Class: III UG**

**Major: B.COM (CS)**

**Time: 2 Hours**

**Max.Marks:60**

**21BCRC27 – SECURITIES LAWS**

**Course Outcomes:**

CO1: List the securities in the market with all legal formalities.

CO2: Carry out the capital market operations according to the objectives of the SEBI Act.

CO3: Understand the depository process.

CO4: Be familiar with rules and regulations of SEBI.

CO5: Follow disclosure procedure and acquisition of shares and take overs.

**Part- A**

**Answer all questions**

**(1X6=6)**

1. The total number of board members in the Securities and Exchange Board of India C03:K1
  - a. 5 b.7
  - b. 10 d.9
2. The number of regional offices of the Securities and Exchange Board of India C03:K2
  - a. One b. Three
  - c. Four d. Two
3. The headquarters of the Securities and Exchange Board of India are C04:K2
  - a. Mumbai b. New Delhi
  - c. Kolkata d. Chennai
4. Chairman of Securities and Exchange Board of India is appointed by C04:K1
  - a. Ministry of Finance b. Reserve Bank of India (RBI)
  - c. State bank of India (SBI) d. None of the above
5. The Current Chairman of the Securities and Exchange Board of India C05:K1
  - a. C B Bhave b. Ajay Tyagi
  - c. U K Sinha d. None of the above
6. The Securities and Exchange Board of India meets the needs C05:K3
  - a. Investors b. Market intermediaries
  - b. Issuers of securities d. All of the above
  - c.

**Part-B**

**Answer ALL questions**

**(3x6=18)**

7. A) Explain about the Depository Participants C03:K1  
(Or)  
B) Discuss about the Dematerialization and Materialization CO3  
K2
8. A) Explain the overview of SEBI and Regulations 2009 CO4:K2  
(Or)  
B) Elaborate the shares and Takeovers regulations 2011 CO4: K3
9. A) Describe the conditions of buy-back Regulations CO5: K2  
(Or)  
B) Explain the Delisting of equity shares methods CO5: K3

**Part C**

**Answer ALL questions**

**(3x12=36)**

10. A) Briefly Explain about the Depository Process CO3: K1  
(Or)  
B) Elaborate about the Depository Systems CO3: K3
11. A) Describe about the SEBI Rules and Regulations CO4:K1  
(Or)  
B) Briefly Explain the SEBI listing obligation and disclosure requirements CO4: K1
12. A) Elaborate the Buy Back conditions and methods CO5:K2  
(Or)  
B) Briefly Explain the compulsory listing and Delisting CO5:K1

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**ALL THE BEST**