



*Chapter V*

## CHAPTER V

### IDEMPOTENT MATRICES OVER SEMIRINGS

In this chapter, we consider a semiring which is either a general Boolean algebra  $\mathbb{B}_k$  or a chain semiring  $\mathbb{K}$ .

#### Definition: 5.1

Let  $A$  be a matrix in  $M_n(\mathbb{B}_1)$ . For  $i = 1, \dots, n$ , we define an  $i^{\text{th}}$  **row matrix**  $r_i[A]$  of  $A$  as a matrix whose  $i^{\text{th}}$  row is the same as the  $i^{\text{th}}$  row of  $A$  and the other rows are zero. Similarly, we can define a  $j^{\text{th}}$  **column matrix**  $c_j[A]$  of  $A$  for  $j = 1, \dots, n$ . A **line matrix** is an  $i^{\text{th}}$  row matrix or a  $j^{\text{th}}$  column matrix of a matrix; Cells  $E_1, \dots, E_k$  are called **collinear** if  $\sum_{i=1}^k E_i$  is dominated by a line matrix of  $J_n$ .

#### Definition: 5.2

Let  $A \in M_n(\mathbb{B}_1)$ . For indices  $i, j \in \{1, \dots, n\}$ ,  $r_i[A]$  and  $c_j[A]$  are said to be **(i, j)-disjoint** if  $XY = O_n$  for all off-diagonal cells  $X \subseteq r_i[A]$  and  $Y \subseteq c_j[A]$ .

#### Lemma: 5.3

Let  $A$  be idempotent in  $M_n(\mathbb{B}_1)$ . If  $r_i[A]$  and  $c_j[A]$  are not **(i, j)-disjoint**, then  $E_{i,j} \subseteq A$ .

**Definition: 5.4**

Let  $E_1, E_2, E_3$  and  $E_4$  be four distinct cells in  $M_n(\mathbb{B}_1)$ . Then their sum is called a **frame** if the four 1's constitute a rectangle with atleast one entry on diagonal. That is, there exist indices  $i, j, k \in \{1, \dots, n\}$  with  $i \neq j, k$  such that

$$\sum_{i=1}^4 E_i = E_{i,i} + E_{i,j} + E_{k,i} + E_{k,j}.$$

**Lemma: 5.5**

Let  $E_1, E_2, \dots, E_m$  and  $F$  be cells in an idempotent matrix  $X \in M_n(\mathbb{B}_1)$ , where  $m \geq 2$ . Then

- (i) If  $E_1, E_2, \dots, E_m$  is not zero, then it is a cell in  $X$ ;
- (ii) If  $F$  is off-diagonal, then there exist distinct cells  $G$  and  $H$  in  $A$  such that  $F = GH$ . Moreover if both cells  $G$  and  $H$  are off-diagonal, then three cells  $F, G$  and  $H$  are mutually distinct.

**Corollary: 5.6**

If all cells in  $A \in M_n(\mathbb{B}_1)$  are off-diagonal, then  $A$  is not idempotent.

**Theorem: 5.7**

Let  $A$  be idempotent in  $M_n(\mathbb{B}_1)$ . If  $F$  is an off-diagonal cell in  $A$  such that  $F$  is not collinear with any diagonal cell in  $A$ , then  $F$  is in a frame with one diagonal cell and two additional off-diagonal cells in  $A$ .

**Proof:**

Let  $\phi_1 = \{E_1, \dots, E_m\}$  be the set of all distinct diagonal cells in  $A$  and  $\phi_2$  be the set of all off-diagonal cells in  $A$ . By Corollary 5.7, we have  $m \geq 1$ . Let us denote  $E_i = E_{a_i, a_i}$  for all  $i = 1, \dots, m$  and  $F = E_{b, c}$ . Since  $F$  and  $E_i$  are not collinear for all  $i$ , it follows that  $a_1, \dots, a_m, b$ , and  $c$  are mutually distinct indices. Assume that  $F$  is not in a frame with one diagonal cell in  $\phi_1$  and two off-diagonal cells in  $\phi_2$ . Then we can construct an infinite subset of cells in  $\phi_2$  applying the induction process as in the Proof of 5.7.

**The base of induction:** Since  $A$  is idempotent, by Lemma 5.6 (ii), there exist two distinct cells  $E_{b, x_1}, E_{x_1, c} \subseteq A$  such that  $E_{b, c} = E_{b, x_1} E_{x_1, c}$  for some index  $x_1$ . If  $E_{b, x_1} \in \phi_1$  or  $E_{x_1, c} \in \phi_1$ , then  $F = E_{b, c}$  is collinear with a diagonal cell. This is a contradiction. Thus  $E_{b, x_1}, E_{x_1, c} \in \phi_2$ . If  $x_1 = a_i$  for some  $i$ , then we obtain that  $E_{x_1, x_1} + E_{b, x_1} + E_{x_1, c} + E_{b, c}$  is a frame, a contradiction to the assumption. Hence,  $x_1 \neq a_i$  for all  $i$ .

Since  $A$  is idempotent and  $E_{b, x_1} \in \phi_2$  by Lemma 5.6 (i), we can find two cells  $E_{b, x_2}$  and  $E_{x_2, x_1}$  in  $\phi_1 \cup \phi_2$  in such that  $E_{b, x_1} = E_{b, x_2} E_{x_2, x_1}$  for some index  $x_2$ . Then we have  $E_{x_2, c} = E_{x_2, x_1} E_{x_1, c} \subseteq A$  by Lemma 5.6 (i). If  $x_2 = x_1$ , then the four cells  $E_{x_2, x_1}, E_{b, x_2} E_{x_1, c}$  and  $F = E_{b, c}$  are in a frame, which contradicts the assumption. If  $x_2 = a_i$  for some  $i$ , then  $E_{x_2, x_2}, E_{b, x_2} E_{x_2, c}$  and  $F = E_{b, c}$  are in a frame, which also contradicts the assumption. Thus  $x_2 \neq a_i$  for all  $i$  and  $x_2 \neq x_1$ .

**The induction step:** Assume that for certain  $k \geq 2$ , the set of cells  $\{E_{b, x_1}, \dots, E_{b, x_k}, E_{x_2, x_1}, \dots, E_{x_k, x_{k-1}}\} \subseteq \phi_2$  was already constructed. Then we may

add new elements to this set as follows. By Lemma 5.6 (ii), since  $A$  is idempotent, there exist two cells  $E_{b, x_{k+1}}$  and  $E_{x_{k+1}, x_k}$  in  $\phi_1 \cup \phi_2$  such that

$$E_{b, x_k} = E_{b, x_{k+1}} E_{x_{k+1}, x_k} \text{ for some index } x_{k+1}. \text{ Thus by Lemma 5.6 (i),}$$

$$E_{x_{k+1}, c} = E_{x_{k+1}, x_k} \cdots E_{x_2, x_1} E_{x_1, c} \subseteq A.$$

Now, we show that  $x_{k+1}$  is neither  $b$  nor  $x_i$  for all  $i = 1, \dots, k$ . Note that  $x_{k+1} \neq b$  since  $F = E_{b, c}$  is not collinear with any diagonal cell. Assume that  $x_{k+1} = x_i$  for some  $i \in \{1, \dots, k\}$ . Then  $E_{x_i, c} = E_{x_{k+1}, c} \subseteq A$  and by Lemma 5.6 (i)  $E_{x_i, x_i} = E_{x_{k+1}, x_i} = E_{x_{k+1}, x_k} \cdots E_{x_{i+1}, x_i} \subseteq A$ . Therefore, the four cells  $E_{x_i, x_i}, E_{b, x_i}, E_{x_i, c}$  and  $F = E_{b, c}$  are in a frame, which contradicts the assumption. Thus  $x_{k+1} \neq x_i$  for all  $i = 1, \dots, k$  and we have constructed the sets.

$$\{E_{b, x_1}, \dots, E_{b, x_{k+1}}, E_{x_2, x_1}, \dots, E_{x_{k+1}, k}\} \subseteq \phi_2.$$

Therefore we obtain an infinite set of off-diagonal cells  $\{E_{b, x_i} / i \in \mathbb{N}\}$  on the  $b^{\text{th}}$  row, which is impossible.

### Lemma: 5.8

Let  $A$  be an idempotent matrix in  $M_n(\mathbb{B}_1)$  with  $E_{i, i} \subseteq A$ . If  $w(r_i[A]) = s+1$  and  $w(c_i[A]) = t+1$ , then there exist exactly  $s \cdot t$  frames in  $A$  dominating  $E_{i, i}$ .

### Definition: 5.9

For a matrix  $A \in M_n(\mathbb{B}_1)$ , let  $\{E_{i, i}, E_{j_1, i}, \dots, E_{j_s, i}\}$  and  $\{E_{i, i}, E_{i, l_1}, \dots, E_{i, l_t}\}$  be the sets of cells in  $c_i[A]$  and  $r_i[A]$ , respectively, where  $s, t \geq 1$ . If  $E_{j_k, i} \subseteq A$  for all  $k = 1, \dots, s$  and  $l = 1, \dots, t$ , then

$$\sum_{k=1}^s \sum_{l=1}^t (E_{i, i} + E_{j_k, i} + E_{i, l_l} + E_{j_k, l_l}) \text{ is called an } i^{\text{th}} \text{ rectangle part of } A \text{ and}$$

denoted by  $RP_i[A]$ .

**Remark: 5.10**

Let  $A$  be idempotent in  $M_n(\mathbb{B}_1)$  with  $E_{i,i} \subseteq A$ . If  $w(r_i[A]) > 1$  and  $w(c_i[A]) > 1$ , then Lemma 5.9 shows that the  $i^{\text{th}}$  rectangle part  $RP_i[A]$  of  $A$  exists.

**Example: 5.11**

$$\text{Let } A = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \end{bmatrix} \in M_4(\mathbb{B}_1).$$

$$\text{Then } RP_1[A] = RP_3[A] = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

But there is neither  $RP_2[A]$  nor  $RP_4[A]$ .

**Definition: 5.12**

Let  $A$  be a matrix in  $M_n(\mathbb{B}_1)$  with  $E_{i,i} \subseteq A$ . If  $w(r_i[A]) = 1$  or  $w(c_i[A]) = 1$ , then  $r_i[A] + c_i[A]$  is called an  $i^{\text{th}}$  **line part of  $A$** , and denoted by  $LP_i[A]$ . That is,  $A \in M_n(\mathbb{B}_1)$  has the  $i^{\text{th}}$  line part  $LP_i[A] = r_i[A] + c_i[A]$  if and only if  $r_i[A] = E_{i,i}$  or  $c_i[A] = E_{i,i}$ .

**Example: 5.13**

Let  $B = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$  be a matrix in  $M_n(\mathbb{B}_1)$ . Then B has 1<sup>st</sup> and 4<sup>th</sup>

line parts, which are  $LP_1[B] = E_{1,1} + E_{1,3} + E_{1,4}$  and  $LP_4[B] = E_{4,4} + E_{1,4}$ , respectively. But B has neither  $LP_2[B]$  nor  $LP_3[B]$ .

**Corollary: 5.14**

If A is idempotent in  $M_n(\mathbb{B}_1)$ , then every cell dominated by A is either in a rectangle part or in a line part of A.

**Proof:**

It follows directly from Theorem 5.7 and Lemma 5.9.

**Definition: 5.15**

Suppose that  $A \in M_n(\mathbb{B}_1)$  has  $i^{\text{th}}$  and  $j^{\text{th}}$  rectangle parts  $RP_i[A]$  and  $RP_j[A]$  for some  $i$  and  $j$  with  $i \neq j$ . We say that  $RP_i[A]$  and  $RP_j[A]$  are **disjoint** if  $r_i[A]$  and  $c_j[A]$  are  $(i, j)$ -disjoint, or  $r_j[A]$  and  $c_i[A]$  are  $(j, i)$ -disjoint or both.

**Theorem: 5.16**

Let A be idempotent in  $M_n(\mathbb{B}_1)$ . Then any two rectangle parts of A are either disjoint or identical.

**Proof:**

It is straight forward that disjoint parts are not identical. Suppose that the  $i^{\text{th}}$  and  $j^{\text{th}}$  rectangle parts of A are not disjoint. By definition, we have  $r_i[A]$  and  $c_j[A]$  are not  $(i, j)$ -disjoint, and  $r_j[A]$  and  $c_i[A]$  are not  $(j, i)$ -disjoint.

Therefore,  $E_{i,j} \subseteq A$  and  $E_{j,i} \subseteq A$  by Lemma 5.3. Then in this case we claim that for any cell  $E$ , we have  $E \subseteq RP_i[A]$  if and only if  $E \subseteq RP_j[A]$ . It is straight forward that the four cells  $E_{i,i}, E_{j,j}, E_{i,j}, E_{j,i} \subseteq RP_t[A]$  for  $t = i, j$ . Suppose  $E \subseteq RP_i[A]$ . We first consider the case  $E \subseteq r_i[A]$  say  $E = E_{i,a}$ . Then we have  $E_{j,a} = E_{i,j} E_{i,a} \subseteq A$  by Lemma 5.6 (i), and the four cells  $E_{i,a}, E_{i,j}, E_{j,a}$  and  $E_{j,j}$  form a frame. Therefore,  $E = E_{i,a} \subseteq RP_j[A]$ . Similarly for the case  $E \subseteq c_i[A]$  it follows that  $E \subseteq RP_j[A]$ .

Next, consider the case  $E \not\subseteq r_i[A]$  and  $E \not\subseteq c_i[A]$ , say  $E = E_{c,d}$ . Since  $E \subseteq RP_i[A]$ , there exist two off-diagonal cells  $E_{i,x} \subseteq r_i[A]$  and  $E_{y,i} \subseteq c_i[A]$  such that  $E_{c,d} = E_{y,i} = E_{i,x}$ . Therefore, we have that  $c = y$  and  $d = x$  by the result which states that, for any cells  $E_{i,j}$  and  $E_{u,v}$ ; we have  $E_{i,j} E_{u,v} = E_{i,v}$  or  $O_n$  according as  $j = u$  or  $j \neq u$ , since  $A$  is idempotent, we obtain by Lemma 5.6 (i) that

$$E_{c,j} = E_{y,j} = E_{y,i} E_{i,j} \subseteq A \text{ and } E_{j,d} = E_{j,x} = E_{j,i} E_{i,x} \subseteq A.$$

Hence the four cells  $E_{c,d}, E_{c,j}, E_{j,d}$  and  $E_{j,j}$  form a frame. Therefore, we have  $E = E_{c,d} \subseteq RP_j[A]$ , then we have that  $E \subseteq RP_i[A]$ . Therefore, the two rectangle parts  $RP_i[A]$  and  $RP_j[A]$  are identical.

**Theorem: 3.17**

Let  $A$  be a matrix in  $M_n(\mathbb{B}_1)$ . Then  $A$  is idempotent if and only if the following two conditions are satisfied:

- (i) there exist integers  $r, l \geq 0$  such that  $A$  is a sum of  $r$  disjoint rectangle parts and  $l$  line parts,
- (ii) if for some  $i \neq j$   $r_i[A]$  and  $c_j[A]$  are not  $(i, j)$ -disjoint, then  $E_{i,j}$  is a cell in  $A$ .

**Proof:**

Let  $A$  be a matrix in  $M_n(\mathbb{B}_1)$ . It is routine to check that a matrix satisfying the two conditions is idempotent. To show the opposite implication, without loss of generality, we can assume that  $A$  has  $r$  rectangle parts and  $l$  line parts, where  $r, l \geq 0$ . Let  $F$  be an off-diagonal cell in  $A$ . By Corollary 5.14,  $F$  is in some rectangle part or some line part of  $A$ . Therefore,  $A$  is the sum of  $r$  rectangle parts and  $l$  line parts of  $A$ . It follows from Theorem 5.16 that  $r$ -rectangle part of  $A$  are disjoint. By Lemma 5.3, if  $r_i[A]$  and  $c_j[A]$  are not  $(i, j)$ -disjoint, then  $E_{i,j}$  is a cell in  $A$ .

**Definition: 5.18**

Let  $\mathbb{K}$  be a chain semiring. Let  $\alpha$  be a fixed members of  $\mathbb{K}$ , other than 1. For each  $x \in \mathbb{K}$ , define  $x^\alpha = 0$  if  $x \leq \alpha$ , and  $x^\alpha = 1$  otherwise. Then the mapping  $x \rightarrow x^\alpha$  is a homomorphism of  $\mathbb{K}$  onto  $\mathbb{B}_1$ . Its entrywise extension to a mapping  $A \rightarrow A^\alpha$  of  $M_n(\mathbb{K})$  onto  $M_n(\mathbb{B}_1)$  preserves matrix sums and products and multiplication by scalars. We call  $A^\alpha$  the  $\alpha$ -patten of  $A$ .

**Theorem: 5.19**

Let  $A = [a_{i,j}]$  be a matrix in  $M_n(\mathbb{K})$ . Then  $A$  is idempotent if and only if all  $a_{i,j}$ -patterns of  $A$  are idempotent in  $M_n(\mathbb{B}_1)$ .

**Proof:**

Let  $A$  be a idempotent in  $M_n(\mathbb{K})$ . Then all  $a_{i,j}$ -patterns of  $A$  are idempotent in  $M_n(\mathbb{B}_1)$  because each  $a_{i,j}$ -pattern of  $A$  homomorphism of  $M_n(\mathbb{K})$  onto  $M_n(\mathbb{B}_1)$ .

Conversely, assume that each  $a_{ij}$ -pattern  $A^{a_{ij}}$  of  $A$  is idempotent in  $M_n(\mathbb{B}_1)$ . If  $A^2 \neq A$ , then for some  $(i,j)^{\text{th}}$  entries of  $A$  and  $A^2$ , we have

$$a_{i,j} \neq \sum_{k=1}^n a_{i,k} a_{k,j}. \quad (*)$$

If  $a_{i,j} < \sum_{k=1}^n a_{i,k} a_{k,j}$ , then the  $(i,j)^{\text{th}}$  entry of  $A^{a_{ij}}$  is 0, but that of  $(A^{a_{ij}})^2$  is 1, a contradiction to the fact that  $a_{ij}$ -pattern of  $A$  is idempotent in  $M_n(\mathbb{B}_1)$ . Hence

we have  $a_{i,j} > \sum_{k=1}^n a_{i,k} a_{k,j}$ . We notice that the right side of (\*) is just  $a_{i,k} a_{k,j}$  for

some  $k = \{1, \dots, n\}$ . Furthermore we have  $a_{i,k} a_{k,j} = a_{i,k}$  or  $a_{k,j}$ . If  $a_{i,k} a_{k,j} = a_{i,k}$ ,

then  $a_{i,j} > \sum_{k=1}^n a_{i,k} a_{k,j}$ , and hence the  $(i,j)^{\text{th}}$  entry of  $A^{a_{ik}}$  is 1, but that of  $(A^{a_{ik}})^2$  is 0,

a contradiction. Similarly if  $a_{i,k} a_{k,j} = a_{k,j}$ , then we have  $(A^{a_{kj}})^2 \neq A^{a_{kj}}$ , a

contradiction. Therefore  $A$  is idempotent in  $M_n(\mathbb{K})$ .